

No. : 11/2017/CV-CBTT

Ho Chi Minh City, 03 April, 2017

## DISCLOSURE INFORMATION

**TO:**

- The State Securities Commission
- HoChiMinh Stock Exchange
- HaNoi Stock Exchange

1. Name of Company: **Ho Chi Minh City Securities Corporation**
2. Securities symbol: HCM
3. Head quarter address: Level 5&6, AB Tower, 76 Le Lai Str., Ben Thanh Ward, Dist., 1, HCM City
4. Telephone: (+84 8) 3823 3299 Fax: (+84 8) 3823 3301 Website: www.hsc.com.vn
5. Submitted by: Ms. Truong Ngoc Quynh Tien Position: Communications Director
6. Telephone (office): (+84 8) 3823 3299 (ext. 124)
7. Information disclosure type: ☒ 24 hours ☐ Periodic ☐ Irregular ☐ On demand
8. The content of information disclosure:

Ho Chi Minh City Securities Corporation (HSC) would like to announce to the valued shareholders the organization of the Annual General Meeting of Shareholders for the fiscal year 2016 (*"the AGM2016"*). The AGM2016 agenda is as follows

### 1. Time and Venue

- Time: Monday, 24 April 2017 at 13:30
- Venue: Mekong Ballroom - First Floor – New World Saigon Hotel,  
76 Le Lai Street, Dist.1, Ho Chi Minh City.

### 2. Content of the Meeting

- Report on the activities of the Board of Directors in 2016
- Report on the Income Statement for the year 2016 of the Board of Management
- Business plan for the year 2017
- Report on the 2016 Company's operation of the Board of Supervision
- Proposals to approve:
  - o The Audited Financial Statements for the year ended 31 December 2016
  - o The 2016 final cash dividend rate
  - o The dividend plan for 2017
  - o The profit distribution plan for the fiscal year 2016



- The appointment of the independent audit firm for the fiscal year 2017
- The adjustment of foreign investors' ownership
- The proposal to trade the covered warrant and offer its related services
- Some other proposals that the AGM2016 has to approve (*if have*)

At Information disclosure No.02/2017/CV-CBTT dated 19 January 2017, HSC disclosed the agenda of the 2016AGM. Now, the Board of the Directors decided to supplement the following proposals to the 2016 AGM agenda:

- The adjustment of foreign investors' ownership
- The proposal to trade the covered warrant and offer its related services

### **3. The Conditions of participation**

All the shareholders, whose names are in the list of HSC's shareholders as at the record date (09 March 2017) issued by Vietnam Securities Depository – HCMC Branch, are entitled to attend the 2016AGM.

### **4. The procedures of participation**

The shareholders shall be kindly requested to present the Invitation Letter and the ID card/ Passport (*for individual shareholders*) or Introduction Letter (*for institutional shareholders*) at the entrance of the 2016AGM. In the case of authorization, the authorized person should bring Proxy Letter (as *HSC's form*), a copy of the shareholder's ID card/Passport and his/her ID card/Passport.

### **5. Documents**

Please access our webpage [www.hsc.com.vn](http://www.hsc.com.vn) to download the 2016AGM documents for your further information from 03 April 2017.

### **6. Registration for attendance**

For the purpose of a well-organized meeting, the shareholders are kindly requested to confirm your attendance / authorization with the AGM Organizing Committee via Telephone No. +84 3823 3299, or Fax No. +84 3823 3301 **before 4:30pm on 19 April 2017**

This information was disclosed on HSC webpage on 03 April, 2017 and available at <https://www.hsc.com.vn/vn/investor/information-disclosure> .

We declare that all information provided in this paper is true and accurate; we shall be legally responsible for any misrepresentation.

For and on behalf of HSC  
Representative authorized to disclose information



Truong Ngoc Quynh Tien  
Communications Director

**Attached file:**

- Resolution 03/2017/NQ-2017 dated 27 March, 2017;

